

United States Patent and Trademark Office



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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/867,930

05/30/2001

Blake J. Roessler

UM-06192

|

CONFIRMATION NO. 7740

FORMALITIES LETTER

OC000000006349013*

Date Mailed: 07/26/2001

MEDLEN & CARROLL, LLP 220 Montgomery Street, Suite 2200 San Francisco, CA 94104

JUL 3 0 2001

MEDLEN & CARROLL

RECEIVED

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Eiling Data Crantad

Filing Date Granted — 9/26/0/ew

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

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Attorney Docket No. UM-06192

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Blake J. Roessler et al.

Serial No.: Filed:

09/867,930

05/30/01

Group No.: Examiner:

Entitled:

Nanoemulsion Formulations

RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, op October 11, 2001.

Sir or Madam:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed 07/26/01 (a copy of which is enclosed), applicants submit the following documents:

- Executed declaration or oath
- an Assignment document for recording whereby the subject application and the invention disclosed therein is assigned to The Regents of the University of Michigan, 3003 South State Street, Ann Arbor, MI 48109-1280 and a Recordation Form Cover Sheet -- Patents Only (Form PTO-1595)
- Power of Attorney by Assignee
- Petition for extension of time for filing within the first month

A check in the amount of \$160.00 is enclosed herewith to cover:

\$65.00 surcharge for filing missing parts of an application

\$40.00 recordation fee

\$55.00 for filing a one month extension of time

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: October 11, 2001

ason R. Bond

Registration No. 45,439

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415/904=6500